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by

Eli Upfal and Avi Wigderson

Department of Computer Science

Stanford University  
Stanford, CA 94305

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# HOW TO SHARE MEMORY IN A DISTRIBUTED SYSTEM

*Eli Upfal*<sup>†</sup>

Stanford University  
Stanford, CA 94305

*Avi Wigderson*<sup>‡</sup>

IBM Research Lab.  
San-Jose, CA 95193

## ABSTRACT

We study the power of shared-memory in models of parallel computation. We describe a novel distributed data structure that eliminates the need for shared memory without significantly increasing the run time of the parallel computation. More specifically we show how a complete network of processors can deterministically simulate one PRAM step in  $O(\log n (\log \log n)^2)$  time, when both models use  $n$  processors, and the size of the PRAM's shared memory is polynomial in  $n$ . (The best previously known upper bound was the trivial  $O(n)$ ). We also establish that this upper bound is nearly optimal. We prove that an on-line simulation of  $T$  PRAM steps by a complete network of processors requires  $\Omega(T \frac{\log n}{\log \log n})$  time.

A simple consequence of the upper bound is that an Ultracomputer (the only currently feasible general purpose parallel machine), can simulate one step of a PRAM (the most convenient parallel model to program), in  $O((\log n \log \log n)^2)$  steps.

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## 1. INTRODUCTION

The cooperation of  $n$  processors to solve a problem is useful only if the following two goals can be achieved:

1. Efficient parallelization of the *computation* involved.
2. Efficient *communication* of partial results between processors.

Models of parallel computation that allow processors to randomly access a large shared memory (e.g. PRAM) idealize communication and let us focus on the computation. Indeed, they are convenient to program and most parallel algorithms in the literature use them.

Unfortunately, no realization of such models seems feasible in foreseeable technologies. The only current feasible model is a *distributed system* - a set of processors (RAMs) connected by some communication network. As there is no shared memory, data items are stored in the processors' local memories, and information can be exchanged between processors only by messages. A processor can send or receive only one data item per unit time.

Let  $n$  be the number of processors in the system and  $m$  the number of data items. At every logical (e.g. PRAM) step of the computation, each processor can specify one data item it wishes to access (read or update). The execution time of the logical step is at least the number of machine steps required to satisfy all these requests in parallel.

To illustrate the problem, assume  $m \geq n^2$ . A naive distribution of data items in local memories that uses no hashing or duplication will result in some local memory having at least  $n$  data items. Then, a perverse program can in every step force all processors to access these particular data items. This will cause an  $\Omega(n)$  communication bottleneck, even if the communication network is complete. This means that *using  $n$  processors may not have an advantage over using just one, even when computation is parallelizable!*

We therefore see that it is a fundamental problem to find a scheme to organize the data in the processors' memories such that information about any subset of  $n$  data items can be

retrieved and updated in parallel as fast as possible.

This problem, called in several references 'the granularity problem of parallel memories', is discussed in numerous papers. The survey paper by Kuck [Ku] mentions 14 of them, all solving only part of the problem as they tailor data organization to particular families of programs. For a general purpose parallel machine, such as the NYU-Ultracomputer (Gottlieb et al. [GGK]), the PDDI machine (Vishkin [Vi]), and others, one would clearly like a general purpose organization scheme, that will be the basis of an automatic (compiler-like) efficient simulation of any program written for a shared memory model by a distributed model.

If the number of data items,  $m$ , is roughly the number of processors,  $n$ , then the fast parallel sorting algorithms, [AKS], and [Le], solve the problem. However, we argue that in most applications this is not the case. For example, in distributed databases, typically thousands of processors will perform transactions on billions of data items. Also, in parallel computation, appetite increases with eating; the more processors we can have in a parallel computers, the larger the problems we want to solve.

In a probabilistic sense, the problem is solved even for  $m \gg n$ . Melhorn and Vishkin [MV] propose distributing the data items using universal hashing. This guarantees that one parallel request for  $n$  data items will be satisfied in expected time  $O(\frac{\log n}{\log \log n})$ . Upfal [U] presents a randomized distributed data structure that guarantees execution of any sequence of  $T$  parallel requests in  $O(T \log n)$  steps with probability tending to 1 as  $n$  tends to  $\infty$ .

*By contrast, if  $m \gg n$ , no deterministic upper bound better than the trivial  $O(n)$  is known.* Melhorn and Vishkin [MV], who provide an extensive study of this problem, suggest keeping several copies of each data item. In their scheme, if all requests are for 'read' instructions, the 'easiest' copy will be read, and all requests will be satisfied in time  $O(kn^{1-\frac{1}{k}})$  where  $m = n^k$ . When update instructions are present, they cannot guarantee time better than  $O(n)$ , as all copies of a data item have to be updated.

In this paper we present a data organization scheme that guarantees a worst case upper bound of  $O(\log n (\log \log n)^2)$ , for any  $m$  polynomial in  $n$ . Our scheme also keeps several copies of each data item. *The major novel idea is that not all of these copies have to be updated - it suffices that a majority of them are.* This idea allows the 'read' and 'update' operations to be handled completely symmetrically, and still allows processors to access only the 'easiest' majority of copies.

Our scheme is derived from the structure of a concentrator-like bipartite graph [Pi]. It is a long standing open problem to construct such graphs explicitly. However, a random graph from a given family will have the right properties with probability 1. As in the case of expanders and superconcentrators (c.g. [Pi]) this is not a serious drawback, as the randomization is done only once - when constructing the system.

One immediate application of the upper bound is to the simulation of ideal parallel computers by feasible ones. Since a bounded degree network can simulate a complete network in  $O(\log n)$  steps ([AKS], [I.c]), a typical simulation result which is derived from our upper bound is the following: *Any  $n$ -processors PRAM program that runs in  $T$  steps can be simulated by a bounded degree network of  $n$  processors (Ultracomputer [Sc]) that runs in deterministic time  $O(T(\log n)^2(\log \log n)^2)$  steps.*

The scheme we propose has very strong fault-tolerance properties, which are very desirable in distributed systems. It can sustain up to  $O(\log n)$  *maliciously chosen* faults and up to  $(1-\epsilon)n$  random ones without any information or efficiency loss.

Finally we derive lower bounds for the efficiency of memory organizations schemes. We consider schemes that allow many copies of each data item, as long as each memory cell contains one copy of one data item. The redundancy of such a scheme is the average number of copies per data item.

Our lower bound gives a trade-off between the efficiency of a scheme and its redundancy. If the redundancy is bounded, we get an  $\Omega(n^\epsilon)$  lower bound on the efficiency. This

result partially explains why previous attempts, that considered only bounded redundancy failed [MV], and why our scheme uses  $O(\log n)$  copies per data item.

We also derive an  $\Omega(\frac{\log n}{\log \log n})$  unconditional lower bound on the efficiency - almost matching our  $O(\log n (\log \log n)^2)$  upper bound. This lower bound is the first result that separates models with shared memory from the feasible models of parallel computation that forbid it.

## 2. DEFINITIONS

To simplify the presentation, we shall concentrate on simulation of the *weakest* shared memory model - the EREW (Exclusive-Read Exclusive-Write) PRAM, by the *strongest* distributed system - a model equivalent to a complete network of processors. Extending this result to a simulation of a the strongest PRAM model (the CRCW PRAM) by a bounded degree network of processors (an Ultracomputer) requires standard techniques, which we shall mention at the end of section 3.

An EREW PRAM consists of  $n$  processors  $P_1, \dots, P_n$ , (RAMs) which operate synchronously on a set  $U$  of  $m$  shared variables (or data items). In a single PRAM step, a processor may perform some internal computation or access (read or update) one data item. Each data item is accessed by at most one processor at each step.

An MPC (Module Parallel Computer) [MV] consists of  $n$  synchronous processors,  $P_1, \dots, P_n$ , and  $n$  memory modules,  $M_1, \dots, M_n$ . Every module is a collection of memory cells, each of which can store a value of *one* data item.

In each MPC step, a processor may perform some internal computation, or *request* an access to a memory cell in one of the memory modules. From the set of processors trying to access a specific module, exactly one will (arbitrarily) be granted permission. Only this processor can consequently access (read or update) exactly one cell in this module.

The task of the MPC is to execute a PRAM program. This program is a sequence of instructions  $I_t$ ,  $t = 1, \dots, T$ . Each instruction is a vector of  $n$  sub-instructions, specifying the task of each of the  $n$  processors in this instruction. The sub-instruction of the processor  $P_i$  can be either to execute some local computation, or to access (read or update) a data item (shared variable)  $u_i \in U$ . In the case of an update, a new value  $v_i$  is also assigned.

For the simulation, each data item  $u \in U$  may have several 'physical addresses' or *copies* in several memory modules of the MPC, not all of which are necessarily updated. Let  $\Gamma(u)$  be the set of modules containing a copy of  $u$ . We sometimes refer to  $\Gamma(u)$  also as the set of copies of  $u$ .

The essence of the simulation is captured by an *organization scheme*  $S$ . It consists of an assignment of sets  $\Gamma(u)$  to every  $u \in U$ , together with a *protocol* for execution of read/update instructions (e.g. how many copies to access, in what order, etc.). Both the assignment and the protocol may be time dependent.

A scheme is *consistent* if after the simulation of every PRAM instruction  $I_t$ , a protocol to read data item  $u$  terminates with the value assigned to  $u$  by the latest previous write instruction.

The *efficiency* of a given scheme  $S$  is the worst case number of parallel MPC steps required to execute one PRAM instruction (according to the protocol). Note that the worst case is taken over all possible  $n$ -subsets of the set of data items  $U$ , and over all possible access patterns (read/write).

Finally, we define the *redundancy*  $r(S)$  of  $S$  (at this step), to be  $r(S) = \frac{\sum_{u \in U} |\Gamma(u)|}{|U|}$ , the average number of copies of a data item in the scheme at this step.

### 3. UPPER BOUNDS

Our main results are given below.

**THEOREM 3.1:** *If  $m$  is polynomial in  $n$  then there exists a consistent scheme whose efficiency is  $O(\log n (\log \log n)^2)$ .*

Theorem 3.1 is a special case of:

**THEOREM 3.2:** *There is a constant  $b_0 > 1$ , s.t. for every  $b \geq b_0$  and  $c$  satisfying  $b^c \geq m^2$ , there exists a consistent scheme with efficiency  $O(b[c (\log c)^2 + b \log n \log c])$ .*

In our scheme, every item  $u \in U$  will have exactly  $2c - 1$  copies, i.e.  $|\Gamma(u)| = 2c - 1$ . Each copy of a data item is of the form  $\langle \text{value, time-stamp} \rangle$ , before the execution of the first instruction all the copies of each data item contain identical value and are time stamped '0'. We will show later how to locate the copies of each data item.

The protocol for accessing data item  $u$  at the  $t^{\text{th}}$  instruction is as follows:

1. To update  $u$ , access any  $c$  copies in  $\Gamma(u)$ , update their values and set their time-stamp to  $t$ .
2. To read  $u$ , access any  $c$  copies in  $\Gamma(u)$ , and read the value of the copy with the latest time-stamp.

This protocol completely symmetrizes the roles of read and update instructions, and gives a new application to the majority rule used in [Th] for concurrency control of distributed databases.

**LEMMA 3.1:** *The scheme is consistent.*

**PROOF:** We say that a copy  $\gamma_j(u)$  of the data item  $u$  is updated after step  $t$ , if it contains the value assigned to  $u$  by the latest previous write instruction.

From the fact that every two  $c$ -subsets of  $\Gamma(u)$  have a non-empty intersection, it follows



by induction on  $t$  that when the simulation of every instruction  $I_t$  terminates, at least  $c$  copies of every data item  $u$  are updated, these copies have the latest time stamp among all the copies of  $u$ , and a read  $u$  protocol would return their value.  $\square$

Let  $u_i$  be the data item requested by  $P_i$ ,  $1 \leq i \leq n$ , at this step. Recall that  $c$  copies in  $\Gamma(u_i)$  have to be accessed in order to read or update  $u_i$ . Denote the  $j^{\text{th}}$  copy in  $\Gamma(u)$  by  $\gamma_j(u)$ . During the simulation of this instruction, we will say that  $\gamma_j(u_i)$  is *alive* if this copy was not accessed yet. Also, say that  $u_i$  is *alive* if at least  $c$  copies in  $\Gamma(u_i)$  are still alive. Notice that a request for  $u_i$  is satisfied when  $u_i$  is no longer alive. At this point the protocol for accessing  $u_i$  can terminate.

We are ready now to describe the algorithm. We start with an informal description.

Assume that the task of  $P_i$  is either to read  $u_i$  or to update its value to  $v_i$ . Processors will help each other to access these data items according to the protocol. It turns out to be efficient if at most  $\frac{n}{2c-1}$  data items are processed at a time. Therefore, we shall partition the set of processors into  $k = \frac{n}{2c-1}$  groups, each of size  $2c-1$ . There will be  $2c$  phases to the algorithm. In each of the phases, each group will work, in parallel, to satisfy the request of one of its members. This will be done as follows: The current distinguished member, say  $P_i$ , will broadcast its request (access  $u_i$ , and the new value  $v_i$  in case of a write request) to the other members of its group. Each of them will repeatedly try to access a fixed distinct copy of  $u_i$ . After each step, the processors in this group will check whether  $u_i$  is still alive, and at the first time it is not alive (i.e. at least  $c$  of its copies were accessed), this group will stop working on  $u_i$ . If the request was for a read, the copy with the latest time stamp will be computed and sent to  $P_i$ .

Each of the first  $2c-1$  phases will have a time limit, that may stop the processing of the  $k$  data items while some are still alive. However, we will show that at most  $\frac{k}{2c-1}$  from the  $k$  items processed in each phase will remain alive. Hence, after  $2c-1$  phases at most  $k$  items

will remain. These will be distributed, using sorting, one to each group. The last phase, that has no time limit, will handle them till all are processed.

For the formal presentation of the algorithm, let  $P_{(l-1)(2c-1)+i}$ ,  $i = 1, \dots, 2c-1$  denote the processors in group  $l$ ,  $l = 1, \dots, k$ ,  $k = \frac{n}{2c-1}$ . The structure of the  $j^{\text{th}}$  copy of the data items  $u$  is, as before,  $\langle \text{value}_j(u), \text{time\_stamp}_j(u) \rangle$ .

```

Phase (i, time_limit):
begin
  l := [  $\frac{\text{processor\_no}}{2c-1}$  ]
  f := (l - 1)(2c - 1);
   $P_{f+i}$  broadcast its request
  [read( $u_{f+i}$ ) or update( $u_{f+i}, v_{f+i}$ )]
  to  $P_{f+1}, \dots, P_{f+2c-1}$ ;
  live( $u_{f+i}$ ) := true;
  count := 0;
  while live( $u_{f+i}$ ) and count < time_limit do
    count := count + 1;
     $P_{f+j}$  tries to access  $\gamma_j(u_{f+i})$ ;
    if permission granted then
      if read request then
        read  $\langle \text{value}_j(u_{f+i}), \text{time\_stamp}_j(u_{f+i}) \rangle$ ;
      else (update request)
         $\langle \text{value}_j(u_{f+i}), \text{time\_stamp}(u_{f+i}) \rangle := \langle v_{f+i}, t \rangle$ ;
      if less than c copies of  $u_{f+i}$  are still alive then
        live( $u_{f+i}$ ) := false;
    end while
    if a read request then
      find and send to  $P_{f+i}$  the value with the
      latest time_stamp;
  end Phase i;

```

```

The algorithm:
begin
  for i = 1 to 2c - 1 do
    run Phase(i,  $\log_{\eta} 4c$ );
    [ for a fixed  $\eta$  (to be calculated later),
      there are at most k live request at this
      point of the algorithm]
    sort the k' live requests and route them to
    the first processors in the k' first groups,
    one to each processor;
    run Phase(1,  $\log_{\eta} n$ );
  end algorithm.

```

Consider now one iteration of the while loop in an execution of a phase in the algo-

rithm. The number of requests sent to each module during the execution of this iteration is equal to the number of live copies of live data item this module contains. The module may receive all the requests together and therefore process only one of them, thus we can only guarantee that the number of copies processed in each iteration of the while loop is equal to the number of memory modules containing live copies of data items that were alive before this iteration.

Let  $A \subseteq U$  denote the set of live data items at the start of a given iteration. Let the set  $\Gamma'(u) \subseteq \Gamma(u)$  denote the set of live copies of  $u \in U$  at this time. Since  $u$  is alive,  $|\Gamma'(u)| \geq c$ . The number of live copies at the start of this iteration is given by  $\sum_{u \in U} |\Gamma'(u)|$ . The number of memory modules containing live copies of live data items, and thus a lower bound for the number of copies processed during this iteration is given by  $|\Gamma'(A)| = |\bigcup_{u \in A} \Gamma'(u)|$ .

We first show that a good organization scheme can guarantee that  $|\Gamma'(A)|$  is not too small.

**LEMMA 3.2:** For every  $b \geq 4$ , if  $m \leq \left(\frac{b}{(2e)^4}\right)^{\frac{c}{2}}$  then there is a way to distribute the  $2c-1$  copies of each of the  $m$  shared data items among the  $n$  modules s.t. before the start of each iteration of the 'while' loop  $|\Gamma'(A)| \geq \frac{|A|}{b}(2c-1)$ .

**PROOF:** It is convenient to model the arrangement of the copies among the memory models in terms of a bipartite graph  $G(U, N, E)$ , where  $U$  represents the set of  $m$  shared data items,  $N$  the set of  $n$  memory modules, and  $\Gamma(u)$ , the set of neighbors of a vertex  $u \in U$  represents the set of memory modules storing a copy of the data item  $u$ . We use a probabilistic construction in order to prove the existence of a good memory allocation.

Let  $G_{m,n,c}$  be the probabilistic space of all bipartite graphs  $G(U, N, E)$  s.t.  $|U| = m$ ,  $|N| = n$  and the degree of each vertex  $u \in U$  is  $2c-1$ . Give all graphs in the space equal probability.

Say that a graph  $G(U, N, E) \in G_{m, n, c}$ , is 'good' if for all possible choices of the sets  $\{\Gamma'(u) : \Gamma'(u) \subseteq \Gamma(u), |\Gamma'(u)| \geq c, u \in U\}$  and for all  $A \subseteq U$ ,  $|A| \leq \frac{n}{2c-1}$ , the inequality  $|\Gamma'(A)| \geq \frac{1}{b}(2c-1)|A|$  holds. This condition captures the property that for any set  $A$  of live data items, no matter which of their copies are still alive, the set of all the copies of data items in  $A$  are distributed among at least  $\frac{1}{b}(2c-1)|A|$  memory modules.

$$Pr\{G \in G_{m, n, c} \text{ is not 'good'}\} \leq \sum_{q \leq \frac{n}{(2c-1)}} \binom{m}{q} \left[ \frac{n}{q(2c-1)} \right] \left[ \binom{2c-1}{c} \right]^q \left[ \frac{(2c-1)q}{bn} \right]^{qc} = o\left(\frac{1}{n}\right),$$

for  $m \leq \left(\frac{b}{(2e)^q}\right)^{\frac{c}{2}}$ , and  $b \geq 4$ .  $\square$

In what follows we assume that the algorithm is applied to a memory organization that possesses the properties proven in Lemma 3.2.

**LEMMA 3.3:** *If the number of live items at the beginning of a phase is  $w$  ( $\leq k$ ), then after the first  $s$  iterations of the while loop at most  $2(1 - \frac{1}{b})^s w$  live copies remain.*

**PROOF:** At the beginning of a phase there are  $w$  live items, and all their copies are alive, so there is a total of  $(2c-1)w$  live copies. By lemma 3.2, after  $s$  iterations, the number of live copies remaining is  $\leq (1 - \frac{1}{b})^s (2c-1)w$ . Since  $|\Gamma'(u)| \geq c$  for each live item, these can be the live copies of at most  $(1 - \frac{1}{b})^s \frac{2c-1}{c} w \leq 2(1 - \frac{1}{b})^s w$  items.  $\square$

**COROLLARY 3.2:** *Let  $\eta = (1 - \frac{1}{b})^{-1}$ ,*

1. *After the first  $\log_{\eta}(4c-2)$  iterations of the while loop in a phase, at most  $\frac{k}{2c-1}$  live items remain alive (establishes the fact that the last phase has to process no more than  $k$  requests).*
2. *After  $\log_{\eta} 2k \leq \log_{\eta} n$  iterations in a phase, no live items remain (establishes the correctness of the last phase).*

To complete the analysis, observe that each group needs during each phase to perform the following operations: broadcast, maximum (for finding the latest time stamp) and summation (testing whether  $u_i$  is still alive). Also, before the last phase, all the requests that are still alive are sorted.

**LEMMA 3.4:** *Any subset of  $p$  processors of the MPC, using only  $p$  of the memory modules, can perform maximum, summation, and sorting of  $p$  elements, and can broadcast one message in  $O(\log p)$  steps.*

**PROOF:** The only non-trivial case is the sorting and this can be done using Leighton's sorting algorithm [Le].  $\square$

**THEOREM 3.2:** *For every  $b \geq 4$ , if  $m \leq (\frac{b}{(2e)^4})^{\frac{c}{2}}$ , then there exists a memory organization scheme with efficiency*

$$O(bc(\log c)^2 + b(\log n)(\log c)).$$

**PROOF:** In each iteration of the while loop each processor performs up to one access to a memory module, and each group of  $2c - 1$  processors computes the summation and the maximum of up to  $2c - 1$  elements. Thus, each iteration takes  $O(\log c)$  steps. The first  $2c - 1$  phases perform  $\log_{\eta} c$  iteration each, therefore together they require

$$O\left(\frac{(2c-1)(\log c)^2}{\log \eta}\right)$$

parallel steps.

The sorting before the last phase takes  $O(\log n)$  steps, and the last phase consists of  $O(\log_{\eta} n)$  while iterations, hence requires  $O((\log_{\eta} n)(\log c))$  steps. As  $\log \eta = \log(1 - \frac{1}{b})^{-1} = O(\frac{1}{b})$  the total number of steps is  $O(bc(\log c)^2 + b(\log n)(\log c))$ .  $\square$

We mention how to extend the result of this section to a simulation of a CRCW (concurrent read concurrent write) PRAM by an Ultracomputer. The CRCW PRAM differs from

the EREW PRAM (defined in section 2) in having no restrictions on memory access. When several processors try to write into the same memory cell, the one with the smallest index succeeds.

An Ultracomputer is a synchronized network of  $n$  processors, connected together by a fixed bounded degree network. At each step each processor can send and receive only one message, through one of the lines connecting it to a direct neighbor in the network. The network topology enables sorting of  $n$  keys, initially one at each processor, in  $O(\log n)$  steps.

**THEOREM 3.3:** *Any program that requires  $T$  steps on a CRCW PRAM with  $n$  processors and  $m$  shared variables ( $m$  polynomial in  $n$ ), can be simulated by an  $n$  processor Ultracomputer within  $O(T(\log n)^2 \log \log n)$  steps.*

**PROOF** (sketch): There are two logical parts to the simulation of each instruction. Both parts rely on the capability of the Ultracomputer to sort  $n$  items in  $O(\log n)$  steps. The first part (which involves pre- and post-processing) implements a simulation of a CRCW PRAM instruction by the EREW PRAM model. An  $O(\log n)$  algorithm for this simulation is described in several papers (e.g. [Vi2]). The second part simulates the MPC model on the Ultracomputer. We use the local memories of the individual processors to simulate the MPC's memory modules. The only difficulty in this simulation is to guarantee that no processor (as a module) receive more than one message at any step. To achieve that, the memory requests are sorted before each execution of the 'while' loop, and only one request for each memory module is executed. Each of the broadcast, minimum and summation computation requires  $O(\log n)$  steps on the Ultracomputer instead of the  $O(\log c)$  steps it requires on the MPC. Thus each CRCW PRAM instruction is simulated by  $O((\log n)^2 \log \log n)$  Ultracomputer steps.

□

We conclude this section with some remarks:

1. **Fault tolerance:** A variant of our scheme, in which every processor tries to access  $(2-\epsilon)c$  copies rather than  $c$ , guarantees that even if up to  $(1-2\epsilon)c$  of the copies of each data

item are destroyed by an adversary, no information or efficiency loss will occur.

2. Explicit construction: The problem of explicit construction of a good graph in  $G_{m,n,c}$  remains open. This problem is intimately related to the long standing open problem of explicit construction of  $(m,n)$ -concentrators (e.g. [DDPW]), when  $m \gg n$ .

#### 4. LOWER BOUNDS

The fast performance of the organization scheme presented above depends on having at least  $O(\log n)$  updated copies of each data item, distributed among the modules. A natural question to ask here is whether this redundancy in representing the data items in the memory is essential. In this section we give a positive answer to this question. We prove a lower bound relating the efficiency of any organization scheme to the redundancy in it. Using this trade-off we derive a lower bound for any on-line simulation of ideal models for parallel computation with shared memory by feasible models that forbid it.

We assume without loss of generality that each processor of the MPC has only a constant number,  $d$ , of registers for internal computation. (This is no restriction as  $P_i$  can use  $M_i$  as its local memory). In what follows we consider only schemes that allow a memory cell or an internal register to contain one value of one data item (no encoding or compression are allowed).

**THEOREM 4.1:** *The efficiency of any organization scheme with  $m$  data items,  $n$  memory modules and redundancy  $r$  is  $\Omega((\frac{m}{n})^{\frac{1}{2r}})$ .*

**PROOF:** Let  $S$  be a scheme with  $m$  data items,  $n$  modules, and redundancy  $r$ . If the efficiency of the scheme  $S$  is less than some number  $h$  then there is no set of  $n$  data items such that all their updated copies are concentrated in a set of  $h^{-1}n$  modules. Otherwise, it would have taken at least  $h$  steps to read these data items, since only one data item can be read per step at each module.

Recall that  $r$  is the average number of updated copies of a data items in the scheme. Therefore, there are at least  $\frac{m}{2}$  data items with no more than  $2r$  copies. At most  $dn$  out of these items appear in the internal registers of processors.

There are  $\binom{n}{h^{-1}n}$  sets of  $h^{-1}n$  modules, and each set can store all the copies of no more than  $n-1$  data items. If a data item has at most  $2r$  copies then all its copies are included in at least  $\binom{n-2r}{h^{-1}n-2r}$  sets of  $h^{-1}n$  modules. Counting the total number of data items with at most  $2r$  copies that are stored by the scheme, we get

$$\frac{\binom{n}{h^{-1}n}(n-1)}{\binom{n-2r}{h^{-1}n-2r}} \geq \frac{m}{2} - dn$$

which implies  $h = \Omega\left(\left(\frac{m}{n}\right)^{\frac{1}{2r}}\right)$ .  $\square$

Using the result of theorem 4.1 we can now derive a lower bound for the on-line simulation of a PRAM program by the MPC model.

In an on-line simulation, the MPC is required to finish executing the  $i^{\text{th}}$  PRAM instruction before reading the  $i+1^{\text{th}}$ . Of course it can perform other operations as well during the execution of the  $i^{\text{th}}$  instruction, but these can not depend on future instructions.

We shall assume, w.l.o.g., that the initial value of all data items (and all MPC memory cells) are zero. Since we have  $m$  data items and  $n$  processors, it makes sense to consider PRAM programs of length  $\Omega\left(\frac{m}{n}\right)$ , otherwise some items were redundant.

**THEOREM 4.2:** *Any on-line simulation of  $T$  steps of a PRAM with  $n$  processors and  $m$  shared variables on an MPC with  $n$  processors and  $n$  memory modules requires  $\Omega\left(T \frac{\log n}{\log \log n}\right)$  parallel MPC steps.*



**PROOF:** We will construct a PRAM program of length  $T$  as follows: The first  $\frac{m}{n}$  instructions will assign new values to all the data items. Subsequent instructions will alternate between a *hard read* and a *hard write* instructions.

Consider the redundancy  $r_t$  of the scheme after the execution of the  $t^{\text{th}}$  instruction. A *hard read* instruction will essentially implement theorem 4.1 - it will assign processors to read  $n$  items that all of their updated copies are condensed among a small number of modules. A *hard write* instruction will assign new values to the  $n$  items with the highest number of updated copies. Clearly there are always  $n$  data items with at least  $r_t$  updated copies (as  $m \gg n$ )

For simplicity consider each pair of a hard read followed by a hard write as one PRAM instruction. Let  $s_t$  be the number of MPC steps used while executing the  $t^{\text{th}}$  instruction. For the first  $\tau = \frac{m}{n}$  instructions, at most  $\sum_{i=1}^{\tau} s_i$  memory locations were accessed, and hence

$$r_{\tau} \leq \frac{n}{m} \sum_{i=1}^{\tau} s_i. \quad (1)$$

Recall that  $r_{\tau}$  is the redundancy when we start alternating reads and writes. Let  $t > \tau = \frac{m}{n}$ . By theorem 4.1, at least  $\tau^{\frac{1}{2t-1}} = \beta_{t-1}$  of the  $s_t$  MPC steps were used by each processor to execute the hard read instruction. Hence, at most  $(s_t - \beta_{t-1})n$  cells were accessed for write instructions. Also, the value of  $n$  data items, with  $\geq r_{t-1}$  updated copies each, was changed, thus, we have

$$r_t \leq r_{t-1} + (s_t - \beta_{t-1} - r_{t-1}) \frac{n}{m},$$

for  $t = \tau+1, \dots, T$ .

Summing all these inequalities we get

$$\sum_{t=\tau+1}^T r_t \leq \sum_{t=\tau+1}^T r_{t-1} + \frac{n}{m} \sum_{t=\tau+1}^T (s_t - \beta_{t-1} - r_{t-1}).$$

Using simple manipulation we get:

$$\frac{m}{n}r_\tau + \sum_{i=\tau+1}^T s_i \geq \frac{m}{n}r_T + \sum_{i=\tau+1}^T (\beta_{i-1} + r_{i-1}),$$

and using (1),

$$\sum_{i=1}^T s_i = \sum_{i=1}^{\tau} s_i + \sum_{i=\tau+1}^T s_i \geq \frac{m}{n}r_\tau + \sum_{i=\tau+1}^T s_i \geq \frac{m}{n}r_T + \sum_{i=\tau}^{T-1} (\beta_i + r_i) \geq \sum_{i=\tau}^{T-1} \beta_i + r_i$$

Where  $\sum_{i=1}^T s_i$  is the total simulation time.

Let  $\tilde{r} = \frac{1}{(T - \frac{m}{n})} \sum_{i=\tau}^{T-1} r_i$  be the average redundancy in the last  $T - \frac{m}{n}$  steps. Notice

that  $\beta(r) = (\frac{m}{n})^{\frac{1}{2r}}$  is a convex function in  $r$ , for  $r \geq 0$ . Hence by Jensen's inequality [RV,211-216],

$$\sum_{i=\tau}^{T-1} \beta_i = \sum_{i=\tau}^{T-1} (\frac{m}{n})^{\frac{1}{2r_i}} \geq (T - \frac{m}{n}) (\frac{m}{n})^{\frac{1}{2\tilde{r}}}.$$

Hence,

$$\sum_{i=1}^T s_i \geq (T - \frac{m}{n}) (\tilde{r} + (\frac{m}{n})^{\frac{1}{2\tilde{r}}}) = \Omega((T - \frac{m}{n}) \frac{\log \frac{m}{n}}{\log \log \frac{m}{n}}).$$

For  $m \geq n^{1+\epsilon}$ , and  $T \geq (1+\epsilon) \frac{m}{n}$ , the simulation time is  $\Omega(T \frac{\log n}{\log \log n})$ .  $\square$

## 5. CONCLUSIONS

We describe a novel scheme for organizing data in a distributed system, that admits highly efficient retrieval and update of information in parallel.

This paper concentrates on applications to synchronized models of parallel computation, and specifically to the question of the relative power of deterministic models with and without shared memory. Quite surprisingly, we show that these two families of models are nearly equivalent in power, and therefore we justify the use of shared memory models in the design of parallel algorithms.

There are other applications of our scheme that we did not pursue in this paper. One application is to probabilistic simulation. An interesting open problem, which we are considering, is whether our scheme can improve the probabilistic results in [MV] or [U].

Another application we did not pursue here is to asynchronous systems. Although a similar scheme was suggested in this context [Th], we believe that the potential of this idea was not fully exploited there, and we plan to continue research in this direction. However, we believe that the new notion of consistency suggested by our scheme can have a major impact on the theory and design of such systems, in particular for distributed database systems. We intend to continue research in this direction.

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